

Project: Ambulatory

Area of Concentration: Adults Transitioning from the Criminal Justice System **Provider Type:** Regional Behavioral Health Authority (RBHA) Selected Providers

Objective: To integrate primary care and behavioral health services for the purposes of better coordination of the preventive and chronic illness care for adults with behavioral health needs transitioning from the Criminal Justice System.

*Unless otherwise stated, demonstration that the practice has met the criteria listed in each Milestone Measurement is due by September 30th of the respective Milestone Measurement Period.

*Note: Selected providers are also required to participate in the Adults with Behavioral Health Needs – Area of Concentration- Primary Care Provider area of concentration.

Justice Ambulatory Project			
Core Component	Milestone	Due Date	
1	Utilize a behavioral health integration toolkit and practice-specific action plan to improve integration, and identify level of integrated healthcare	5/31/18	
2	Use practice care managers for members included in the high-risk registry Demonstrate care manager is trained in integrated care	9/30/18 12/30/18	
3	Develop high-risk registry and develop criteria used to identify high-risk members	9/30/18	
4	Implement integrated care plan	9/30/18	
5	Screen members using SDOH and procedures for intervention	9/30/18	
6	Develop communication protocols with MCO's and providers	9/30/18	
7	Screen all members for behavioral health disorders	9/30/18	
8	Provide attestation to verify you will begin utilizing the Arizona Opioid Prescribing Guidelines for chronic pain	9/30/18	
9	Participate in the health information exchange with Health Current	9/30/18	
10	Identify community-based resources	9/30/18	
11	Prioritize access to appointments for individuals in the high-risk registry	9/30/18	
12	Establish contracts with MCOs for reimbursement	9/30/18	
13	Establish integrated care in probation/parole office	9/30/18	
14	Develop outreach plan	9/30/18	
15	Include practice care managers in care plan	9/30/18	
16	Create Access to MAT	9/30/18	
17	Create peer/family support plan	9/30/18	
18	Participate in relevant TI program-offered training	9/30/18	



1. Utilize a behavioral health integration toolkit, to develop a practice-specific action plan to improve integration, building from the self-assessment results that were included in the practice's Targeted Investment application.

One of the three toolkits listed here [Organizational Assessment Toolkit (OATI); Massachusetts Behavioral Health Integration Toolkit(PCMH) and PCBH Implementation Kit] may be used to inform the development of a practice action plan to improve integration. Practices are welcome to use a behavioral health integration toolkit with which they may have already been working, or find one that fits their needs and practice profile.

2. Identify where along the *Levels of Integrated Healthcare* continuum the practice falls (see table below). To do so, please complete the Integrated Practice Assessment Tool (IPAT).

	INATED OMMUNICATION		CATED YSICAL PROXIMITY	INTEG KEY ELEMENT: PI	College Colleg
LEVEL 1 Minimal Collaboration	LEVEL 2 Basic Collaboration at a Distance	LEVEL 3 Basic Collaboration Onsite	LEVEL 4 Close Collaboration Onsite with Some Systems Integration	LEVEL 5 Close Collaboration Approaching an Integrated Practice	LEVEL 6 Full Collaboration in a Transformed /Merged Integrated Practice

Milestone Measurement Period 1

(October 1, 2017-September 30, 2018**)



Practice Reporting Requirement to State

By May 31, 2018, identify the name of the integration toolkit the practice has adopted and document a practice-specific action plan informed by the practice's self-assessment, with measurable goals and timelines.

By May 31, 2018, report the practice site's level of integration using the results of the IPAT level of integration tool, by Site Participant Number [SPN] to AHCCCS and <u>submitting your IPAT results here.</u>

Milestone Measurement Period 2

(October 1, 2018-September 30, 2019**)



Practice Reporting Requirement to State

By October 31, 2018, demonstrate substantive progress has been made on the practice-specific action plan and identify barriers to, and strategies for, achieving additional progress.

By July 31, 2019, report on the progress that has been made since November 1, 2018 and identify barriers to, and strategies for, achieving additional progress.







- 2. Utilize practice care managers¹ for members included in the high-risk registry, with a case load not to exceed a ratio of 1:100. Care managers may be employed directly by the practice, an affiliated entity (for example, Accountable Care Organization, integrated health system) or contracted by the practice from external sources. Practice-level care management functions should include:
 - 1) Assessing and periodically reassessing member needs.
 - 2) Playing an active role in developing and implementing integrated care plans.
 - 3) Collaboratively supporting hospital transitions of care (especially following hospitalization for mental illness).
 - 4) Coordinating members' medical and behavioral health services, assuring optimal communication and collaboration with MCO and/or other practice case or care management staff so that duplication in efforts does not occur and that member needs are addressed as efficiently as possible.
 - Working with members and their families to facilitate linkages to community organizations, including social service agencies.

Milestone Measurement Period 1

(October 1, 2017-September 30, 2018**)

Practice Reporting Requirement to State

- A. By September 30, 2018, identify at least one care manager assigned to provide integrated care management services for members listed in the practice high-risk registry. Indicate the caseload per care manager full time equivalent (FTE).
- B. By September 30, 2018, document that the duties of the practice care manager include the elements of care management listed in this Core Component, and document the process for prioritizing members to receive practice care management consistent with Core Component 2.
- C. By December 30, 2018, demonstrate that the care manager(s) has been trained in:
 - Comprehensive assessment of member needs and goals;
 - Use of integrated care plans;
 - Member and family education; and
 - Facilitating linkages to community-based organizations, utilizing resources identified in Core Component 10.

Milestone Measurement Period 2

(October 1, 2018-September 30, 2019**)



Practice Reporting Requirement to State

- A. By March 31, 2019, document that care managers have been trained in motivational interviewing, including member activation and self-management support.
- B. Based on a practice record review of a random sample of 20 members listed in the high-risk registry during the past 12 months, attest that the care manager has documented: a) completing a comprehensive assessment, b) educating members, c) conducting motivational interviewing, d) appropriately facilitating linkages to community-based organizations, and e) whether the member already received integrated care/case management from other practices and/or MCOs, at least 85% of the time.

¹ Care managers are responsible for high-risk patients at one or more defined practices where they work on an ongoing basis as a member of the care team and have relationships with practices and practice teams. Care managers can be located within the practice site, nearby or remotely and available through telephone or in person through telepresence means. A care manager must be a registered nurse with a Bachelor's degree or a Master's prepared licensed social worker. In the event the practice is unable to hire a care manager(s) with those qualifications, a licensed practical nurse or a Bachelor's prepared licensed social worker is acceptable.



Identify members who are at high-risk and develop an electronic registry to track those members and support effective integrated care management. Practices should consider multiple sources when identifying members at high risk, including information provided by managed care organizations (MCOs), electronic health record (EHR)-based analysis of members with distinguishing characteristics, clinical team referral and Admission-Discharge-Transfer (ADT) alerts received from Health Current (Arizona Health-e Connection). Practices should prioritize members within the registry whose status may be improved or favorably affected through practice-level care management.-2 The registry may be maintained inside or outside of the EHR. Adult members at high risk are determined by the practice, but Pediatric members at high risk are determined by the practice, must include members with or at risk for a behavioral health but must include children/youth who a) have or are at condition who are at high risk of a) near-term acute and increased risk for chronic physical, developmental, behavioral behavioral health service utilization and b) decline in physical or emotional conditions and b) also require health and related and/or behavioral health status. services of a type or amount beyond that required by children/youth generally. This registry must also include all children/youth that have or are at risk for autism spectrum disorder (ASD) and all children/youth engaged in the child welfare system. Milestone Measurement Period 1 Milestone Measurement Period 2 (October 1, 2017-September 30, 2018**) (October 1, 2018-September 30, 2019**) -||---||----D: -(II---**Practice Reporting Requirement to State Practice Reporting Requirement to State** By September 30, 2018, demonstrate that a high-risk registry By September 2019, demonstrate that the care manager is utilizing has been established and articulate the criteria used to identify the practice registry to track integrated care management activity high-risk members. and member progress, consistent with Core Component 3A and/or By September 2019, demonstrate that the high-risk identification criteria are routinely used and that the names and associated clinical information for members meeting the practice criteria are recorded in the registry.

² Practices delivering primary care means the practice assumes full responsibility for meeting all the primary care needs of a group of patients seen at the practice.







Milestone Measurement Period 1	Milestone Measurement Period 2
(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)
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Practice Reporting Requirement to State	Practice Reporting Requirement to State
By September 30, 2018, demonstrate that the practice has designed and is able to implement an integrated care plan.	Based on a practice record review of a random sample of 20 members, whom the practice has identified as having received behavioral health services during the past 12 months, attest the the integrated care plan, which includes established data elements.

5. Screen all members to assess the status of common social determinants of health (SDOH), and develop procedures for intervention or referral based on the results from use of a practice-identified, structured SDOH screening tool.

Tool examples include: the <u>Patient-Centered Assessment Method (PCAM)</u>, the <u>Health Leads Screening Toolkit</u>, the <u>Hennepin County Medical Center Life Style Overview</u> and the <u>Protocol for Responding to and Assessing Patients' Assets, Risks and Experiences (PRAPARE)</u>.

Milestone Measurement Period 1

(October 1, 2017-September 30, 2018**)



Practice Reporting Requirement to State

- A. By September 30, 2018, identify which SDOH screening tool is being used by the practice.
- B. By September 30, 2018, develop policies and procedures for intervention or referral to specific resources/agencies, consistent with Core Component 10, based on information obtained through the screening.

Milestone Measurement Period 2

(October 1, 2018-September 30, 2019**)



Practice Reporting Requirement to State

Based on a practice record review of a random sample of 20 members, attest that:

- 85% of members were screened using the practice-identified screening tool.
- B. 85% of the time, results of the screening were contained within the integrated care plan.
- C. 85% of members, who scored positively on the screening tool, received appropriate intervention(s) or referral(s).

³ An integrated care plan is one that prioritizes both physical and behavioral health needs, and reflects the patient and provider's shared goals for improved health. It includes actionable items and linkages to other services and should be updated continually in consultation with all members of the clinical team, the patient, the family and when appropriate, the Child and Family Team.

⁴ Established data elements may include: problem identification, risk drivers, barriers to care, medical history, medication history, etc. AHCCCS will lead a stakeholder process to identify a set of established data elements that should be included in an integrated care plan.





- Develop communication protocols with physical health, behavioral health, handling crises, sharing information, obtaining consent and provider-to-provider consultation.
 - Behavioral health providers must also have protocols that help identify a member's need for follow-up physical health care with his/her primary care provider, and conduct a warm-hand off if necessary.
 - B. Develop protocols for ongoing and collaborative team-based care, including for both physical health and behavioral health providers to provide input into an integrated care plan, to communicate relevant clinical data and to identify whether the member has practice-level care management services provided by another provider.
 - C. Develop protocols for communicating with MCO-level care managers to coordinate with practice-level care management activities.

An example of a protocol can be found at: Riverside Protocol Example

Milestone Period Measurement Period 1 Milestone Measurement Period 2 (October 1, 2017-September 30, 2018**) (October 1, 2018-September 30, 2019**) -----**Practice Reporting Requirement to State Practice Reporting Requirement to State** A. By September 30, 2018, identify the names of providers and Based on a practice record review of a random sample of 20 MCOs with which the site has developed communication and care management protocols. B. By September 30, 2018, document that the protocols cover how to: 85% of the time.

- 1) Refer members,
- 2) Conduct warm hand-offs,
- Handle crises. 3)
- 4) Share information.
- Obtain consent, and
- Engage in provider-to-provider consultation.

members whom the practice has identified as having received behavioral health services during the past 12 months, attest that a warm hand-off, consistent with the practice's protocol, occurred



- 7. Routinely screen all members at the age-appropriate time⁵ for depression, drug and alcohol misuse, anxiety, developmental delays in infancy and early childhood, and suicide risk, using age-appropriate and standardized tools, such as, but not limited to:
 - 1) Depression: Patient Health Questionnaire (PHQ-2 and PHQ-9).
 - 2) Drug and alcohol misuse: CAGE-AID (Adapted to Include Drugs), Drug Abuse Screen Test (DAST), SBIRT.
 - 3) Anxiety: Generalized Anxiety Disorder (GAD 7).
 - 4) Suicide Risk: Columbia-Suicide Severity Rating Scale (C-SSRS), Suicide Assessment Five-Step Evaluation and Triage (SAFE-T).
 - 5) Other AHCCCS approved screening tools.

The practice must develop procedures for interventions and treatment, including periodic reassessment as per evidence-based recommendation. The practice must also indicate the criteria used to refer members to a community behavioral health provider for more intensive care.

for more intensive care.	
Milestone Period Measurement Period 1	Milestone Measurement Period 2
(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)
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Practice Reporting Requirement to State	Practice Reporting Requirement to State
A. By September 30, 2018, identify the practice's policies and	Based on a practice record review of a random sample of 20
procedures for use of standardized screening tools to identify:	members listed in the high-risk registry in the last 12 months, attest
1) Depression,	that a reassessment if clinically necessary, occurred within the
Drug and alcohol misuse,	evidence-based timeframe recommended 85% of the time.
3) Anxiety,	
4) Suicide risk.	
The policies must include which standardized tool will be used.	
B. By September 30, 2018, identify the practice's procedures for	
interventions or referrals, as the result of a positive screening.	
C. By September 30, 2018, attest that the results of all practice's	
specified screening tool assessments are documented in the	
electronic health record.	

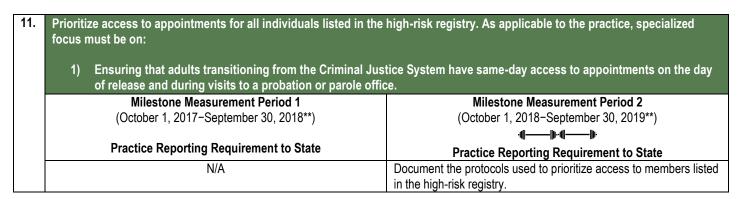
8.	Utilize the Arizona Opioid Prescribing Guidelines for chronic pa	in (excluding cancer, palliative and end-of-life-care)
	Milestone Period Measurement Period 1	Milestone Measurement Period 2
	(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)
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	Practice Reporting Requirement to State	Practice Reporting Requirement to State
	By September 30, 2018, demonstrate that all providers in the practice have been trained on the AZ guidelines for opioid prescribing.	Based on a practice record review of a random sample of 20 members, who were prescribed opioids, attest that the prescriber complied with the AZ guidelines for opioid prescribing 85% of time.
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⁵ Practices serving children/youth should utilize the AHCCCS EPSDT Periodicity Schedule for screening of children, available at https://www.azahcccs.gov/shared/Downloads/MedicalPolicyManual/400/Exhibit430-1.docx. AHCCCS may revisit and update the periodicity schedule as needed.



	et for all members to Health Current.
Milestone Period Measurement Period 1 (October 1, 2017-September 30, 2018**)	Milestone Measurement Period 2 (October 1, 2018–September 30, 2019**)
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Practice Reporting Requirement to State	Practice Reporting Requirement to State
By September 30, 2018, develop and utilize a written protocol for use of Health Current Admission-Discharge-Transfer (ADT) alerts	A. Attest that the practice is transmitting data on a core data set for all members to Health Current. ⁶
in the practice's management of high-risk members.	B. Attest that longitudinal data received from Health Current are routinely accessed to inform care management of high-risk members.
	C. Provide a narrative description of how longitudinal data are informing the care management of high-risk members.

10.	Identify community-based resources, at a minimum, through use resource list(s) and pre-existing practice knowledge to identify of protocols for when to refer members to those resources.	rganizations with which to enhance relationships and create		
	At a minimum, if available, practices should establish relationships with: 1) Community-based social service agencies.			
	2) Self-help referral connections.			
	Substance misuse treatment support services.			
	Milestone Period Measurement Period 1	Milestone Measurement Period 2		
	(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)		
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	Practice Reporting Requirement to State	Practice Reporting Requirement to State		
	A. By September 30, 2018, identify the sources for the practice's	Document that the practice has conducted member and family		
	list of community-based resources.	experience surveys specifically geared toward evaluating the		
	B. By September 30, 2018, identify the agencies and community-	success of referral relationships, and that the information obtained		
	based organizations to which the practice has actively	from the surveys is used to improve the referral relationships.		
	outreached and show evidence of establishing a procedure for	,		
	referring members that is agreed upon by both the practice			
	and the community-based resource.			
	and the community-based resource.			



⁶ A core data set will include a patient care summary with defined data elements.



Establish contracts with MCOs⁷ to be reimbursed for integrated services, ideally located within select county probation offices or Department of Corrections (DOC) parole offices, or in close proximity, which may include probation/parole offices relocating to health care facilities.

Milestone Measurement Period 1

(October 1, 2017–September 30, 2018**)

(October 1, 2018–September 30, 2019**)

Practice Reporting Requirement to State

Wave 1: Document that the practice has executed contracts with the MCOs by September 30, 2018.

Practice Reporting Requirement to State

Wave 2: Document that the practice has executed contracts with the MCOs by March 31, 2019.

13. Establish an integrated health care setting(s) co-located with select county probation offices and/or DOC parole offices, the number to be determined by the MCOs and AHCCCS. If the MCOs and provider agree, and AHCCCS approves, a provider using a mobile unit in near proximity to the offices, or a permanent location in close proximity to the probation office and/or DOC parole offices, will be acceptable.

Milestone Measurement Period 1 (October 1, 2017–September 30, 2018**)

Practice Reporting Requirement to State

Wave 1: Document that service delivery is available at the site no later than September 30, 2018.

Milestone Measurement Period 2 (October 1, 2018–September 30, 2019**)

Practice Reporting Requirement to State

Wave 2: Document that service delivery is available at the site no later than March 31, 2019.

- 14. Develop an outreach plan that is updated regularly, in cooperation with the probation and parole offices to encourage individuals pre- and post-release to utilize the established integrated clinic. This plan should include:
 - 1) Targeted efforts to provide pre-release care coordination and schedule appointments in the integrated clinic for individuals with medium to high criminogenic risk screening.
 - 2) Targeted efforts to provide eligibility and enrollment support to individuals transitioning to probation who are not already identified as Medicaid enrolled and to schedule appointments in the integrated clinic upon release.

Milestone Measurement Period 1

(October 1, 2017-September 30, 2018**)



Milestone Measurement Period 2 (October 1, 2018-September 30, 2019**)

Practice Reporting Requirement to State

Wave 1:

- A. By September 30, 2018, document that the practice has developed and implemented an outreach plan in cooperation with the probation and/or DOC parole office(s) that specifically targets individuals with medium to high criminogenic risk.
- B. By September 30, 2018, document the practice procedures for identifying and providing eligibility and enrollment support to individuals transitioning to probation and/or DOC parole offices.

Wave 2 (March 31, 2019): Document that the practice has developed and implemented an outreach plan in cooperation with the probation and/or DOC parole office(s) that specifically targets individuals with medium to high criminogenic risk.

Waves 1 and 2 (September 30, 2019):

- A. Document practice procedures for identifying and providing eligibility and enrollment support to individuals transitioning to probation and/or DOC parole offices.
- B. Document that the practice has a means for obtaining and analyzing, at least semi-annually, the member experience of those members who have visited the clinic, and those who have not, yet still visit the associated probation/parole office.
- C. Document that the practice has developed and implemented changes in its outreach plan in response to member experience, to attain higher utilization of practice services among those on probation/parole who travel to the probation or parole office per the terms of their release.

⁷ MCOs include acute plans and RBHAs.





15. For the Justice involved population who are listed in the high-risk registry, practice care managers must include in the integrated care plan: a) the critical elements from the care plan developed as a result of "reach-in" activities conducted by the MCOs; b) mandated health care services from the Comprehensive Mental Health Court Contract; and c) health care services recommended as part of the probation/parole-specific community supervision plan.

The practice care manager must also collaborate with parole/probation officer to align, to the extent possible, follow-up appointments with probation/parole office visits.

Milestone Measurement Period 1 (October 1, 2017–September 30, 2018**)

Practice Reporting Requirement to State

Wave 1: By September 30, 2018, document that the practice has developed protocols to incorporate information into the care plan, as a result of "reach-in" activities, the Comprehensive Mental Health Court Contract and the community supervision case plan into the integrated care plan.

Milestone Measurement Period 2 (October 1, 2018-September 30, 2019**)

Practice Reporting Requirement to State

Wave 2 (March 31, 2019): Document that the practice has developed protocols to incorporate information into the care plan as a result of "reach-in" activities, the Comprehensive Mental Health Court Contract and the community supervision case plan into the integrated care plan.

Waves 1 and 2 (September 30, 2019): Based on a practice record review of a random sample of 20 members whom the practice has identified as receiving behavioral health services and were justice-involved during the past 12 months, attest that the care manager has incorporated the reach-in care plan, the Comprehensive Mental Health Court Contract and the community supervision case plan into the integrated care plan, at least 85% of the time.

Practices must have reliable and consistent access within the practice setting (via in-person or telemedicine-enabled means) to medication-assisted treatment (MAT), and must develop or adopt protocols to provide MAT of opioids using evidence-based guidelines. Such guidelines can be found at: Medication Assisted Treatment of Opioid Use Disorder Pocket Guide

Milestone Measurement Period 1 (October 1, 2017–September 30, 2018**)

Practice Reporting Requirement to State

Wave 1: By September 30, 2018, document reliable access to at least one physician who can prescribe buprenorphine.

Milestone Measurement Period 2

(October 1, 2018-September 30, 2019**)

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Practice Reporting Requirement to State

Wave 2 (March 31, 2019): Document reliable access to at least one physician who can prescribe buprenorphine.

Waves 1 and 2 (September 30, 2019):

- A. Document the adoption of protocols that are consistent with SAMHSA's MAT of opioids evidence-based guidelines.
- B. Provide three examples of it meeting the MAT guidelines for members with opioid addiction.





- 17. Create a peer and family support plan using evidence-based approaches that incorporates AHCCCS identified & approved training & credentialing peer and family support specialists with lived experience in the public behavioral health system and Criminal Justice System available to the co-located staff to assist formerly incarcerated individuals and their families with, including but not limited to:
 - 1) Eligibility and enrollment applications;
 - 2) Health care education/system navigation;
 - 3) Finding transportation; and
 - 4) Information on other support resources, including health literacy and financial literacy training.

Milestone Measurement Period 1

(October 1, 2017-September 30, 2018**)

Practice Reporting Requirement to State

Wave 1: By September 30, 2018, document that the practice has created a peer and family support plan, which incorporates peer and family specialists as part of the co-located staff and specifically articulates their role. Attest that peers and family support specialists have been trained using AHCCCS identified & approved Forensic Peer and Family training.

Milestone Measurement Period 2

(October 1, 2018-September 30, 2019**)

Practice Reporting Requirement to State

Wave 2 (March 31, 2019): Documents that the practice has created a peer and family support plan, which incorporates peer and family specialists as part of the co-located staff and specifically articulates their role.

Waves 1 and 2 (September 30, 2019): Document the number of FTEs that have been hired or contracted to fill the peer and family support role and the training they have undergone to be effective peer and family support specialists.

18. Participate in any Targeted Investments program-offered learning collaborative, training and education that is relevant to this project and the provider population and is not already required in other Core Components. In addition, utilize any resources developed or recommendations made during the Targeted Investment period by AHCCCS to assist in the treatment of AHCCCS-enrolled individuals.

Milestone Period Measurement Period	1
(October 1, 2017-September 30, 2018**)	

Practice Reporting Requirement to State

Not applicable. AHCCCS or an MCO will confirm practice site participation in training.

Milestone Measurement Period 2

(October 1, 2018-September 30, 2019**)



Practice Reporting Requirement to State

Not applicable. AHCCCS or an MCO will confirm practice site participation in training.



Resource Links

Core Component #1:

Organizational Assessment Toolkit (OATI)

Massachusetts Behavioral Health Integration Toolkit(PCMH)

PCBH Implementation Kit

Integrated Practice Assessment Tool (IPAT)

IPAT Assessment to Identify Level of Integration

Core component #5:

Patient-Centered Assessment Method (PCAM)

The Health Leads Screening Toolkit

Hennepin County Medical Center Life Style Overview

The Protocol for Responding to and Assessing Patients' Assets, Risks and Experiences (PRAPARE).

Core Component #6:

Riverside Protocol Example

Core Component #8:

Arizona Opioid Prescribing Guidelines for Chronic Pain

Core Component #16

Medication Assisted Treatment of Opioid Use Disorder Pocket Guide

Core Component # 19

Discharge Form